

# The role of gap phase processes in the biomass dynamics of tropical forests

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The responses of tropical forests to global anthropogenic disturbances remain poorly understood. Above-ground woody biomass in some tropical forest plots has increased over the past several decades, potentially reflecting a widespread response to increased resource availability, for example, due to elevated atmospheric CO<sub>2</sub> and/or nutrient deposition. However, previous studies of biomass dynamics have not accounted for natural patterns of disturbance and gap phase regeneration, making it difficult to quantify the importance of environmental changes. Using spatially explicit census data from large (50 ha) inventory plots, we investigated the influence of gap phase processes on the biomass dynamics of four ‘old-growth’ tropical forests (Barro Colorado Island (BCI), Panama; Pasoh and Lambir, Malaysia; and Huai Kha Khaeng (HKK), Thailand). We show that biomass increases were gradual and concentrated in earlier-phase forest patches, while biomass losses were generally of greater magnitude but concentrated in rarer later-phase patches. We then estimate the rate of biomass change at each site independent of gap phase dynamics using reduced major axis regressions and ANCOVA tests. Above-ground woody biomass increased significantly at Pasoh (+0.72% yr<sup>-1</sup>) and decreased at HKK (−0.56% yr<sup>-1</sup>) independent of changes in gap phase but remained stable at both BCI and Lambir. We conclude that gap phase processes play an important role in the biomass dynamics of tropical forests, and that quantifying the role of gap phase processes will help improve our understanding of the factors driving changes in forest biomass as well as their place in the global carbon budget.

**Keywords:** carbon cycling; carbon dioxide; disturbance; global change; succession; tree-fall gaps

## 1. INTRODUCTION

Deforestation and land-use change is one of the largest sources for carbon dioxide emissions, especially from developing tropical nations (DeFries *et al.* 2002; Achard *et al.* 2004). Despite high rates of land-use change and carbon emissions, some atmospheric inversion models show the terrestrial tropics to be carbon neutral or even slight carbon sinks (Gurney *et al.* 2002; Stephens *et al.* 2007; reviewed by Denman *et al.* 2007). One possible explanation is that the carbon emissions from land-use change are largely offset by augmented biomass and increased carbon sequestration in the remaining intact forests (Schimel *et al.* 2001). Consistent with this hypothesis, studies based on repeated tree diameter measurements from a network of Amazonian inventory plots have indicated that the above-ground woody biomass

in these forests has increased over the past several decades (Phillips *et al.* 1998; Baker *et al.* 2004). However, the generality and the cause(s) of these increases remain heavily debated (e.g. Laurance *et al.* 2005; Nelson 2005; Wright 2005, 2006; Lewis 2006; Lewis *et al.* 2006).

It has been proposed that the observed changes in biomass reflect a widespread concerted response to increased resource availability, possibly due to continent-wide increases in incoming solar radiation, increased rates of nutrient mineralization and/or CO<sub>2</sub> fertilization. This hypothesis is supported by the associated shifts in demography (e.g. simultaneous increases in tree growth, recruitment, mortality and above-ground biomass within the same plots; Phillips 1996; Lewis *et al.* 2004a,b) and species composition (Phillips *et al.* 2002a,b; Laurance *et al.* 2004), and is also in accord with theoretical models (Melillo *et al.* 1993; Cramer *et al.* 2001; summarized by Denman *et al.* 2007) and with findings from CO<sub>2</sub> addition experiments conducted in growth chambers (Curtis & Wang 1998) and in some temperate forests (Norby *et al.* 2005; but see Körner *et al.* 2005).

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Table 1. Characteristics of forest dynamic plots (FDPs).

FDP	location (latitude, longitude)	mean annual precipitation (mm)	no. of months with < 100 mm precipitation	elevation (m)	census years	no. of stems	no. of species
BCI	9°09' N, 79°51' W	2551	3–4 (Jan–Apr)	120–160	1985, 1990, 1995, 2000, 2005	213 802	301
Pasoh	2°58' N, 102°18' E	1788	0–1 (Jan)	80–104	1986, 1990, 1995, 2001	305 942	817
Lambir	4°10' N, 114°01' E	2664	0	104–244	1991, 1997, 2002	359 603	1180
HKK	15°37' N, 99°12' E	1476	6 (Nov–Apr)	549–638	1994, 1999	72 509	251

Alternatively, the observed changes in tropical forest biomass (either increases or decreases) may simply reflect 'natural' processes, such as recovery from past large-scale disturbances or internal gap phase dynamics (here defined as localized disturbances and subsequent regrowth; Brokaw 1985), and be largely or entirely independent of external drivers such as elevated CO<sub>2</sub> and/or other global change phenomena (Körner 2003; Saleska *et al.* 2003; Vieira *et al.* 2004). Even in undisturbed forests under steady-state dynamics (i.e. no long-term change in total biomass at the landscape scale), the majority of area is expected to be gradually accumulating biomass most of the time due to the recovery from past gap-forming events. In contrast, offsetting biomass decreases are mostly attributable to the death of individual large trees and are therefore of high magnitude but rarer in space and/or time (Watt 1947; Bormann & Likens 1979; Fearnside 2000; Moorcroft *et al.* 2001; Körner 2003; Saleska *et al.* 2003). Consequently, it may be difficult to accurately capture the frequency of these rare disturbance events and adequately account for them in estimates of biomass change based on the repeated measurements of inventory plots.

If inventory plots miss disturbance events, they will overestimate the rates of biomass increase. This potential for 'immature forest bias' will be amplified if site selection disproportionately favours early successional forests (e.g. in flood plains or human-impacted forests), and/or if plots experiencing disturbance events are subsequently abandoned (Saleska *et al.* 2003; Clark 2004; Phillips *et al.* 2002a). Conversely, plots may potentially overrepresent disturbance events, especially if placement is biased towards mature forests (i.e. 'majestic forest bias'; Phillips *et al.* 2002a). In order to accurately monitor changes in tropical forest biomass, dynamics should ideally be measured over very large spatio-temporal scales (Körner 2004). Unfortunately, this may not be feasible given the relative scarcity of long-term tree plot data from much of the tropics and especially from outside the New World. Here we explore an alternative approach, which is to explicitly account for the contribution of gap phase processes when quantifying rates of biomass change.

To investigate gap phase processes and biomass dynamics of tropical forests, we use repeat tree census data from four forest dynamics plots (FDPs) located at Barro Colorado Island (BCI, Panama), Pasoh (Peninsular Malaysia), Lambir (Sarawak, Malaysia) and Huai Kha Khaeng (HKK, Thailand). All these FDPs represent 'old-growth' forests but vary greatly in geography, climate,

topography, diversity and history (Losos & Leigh 2004; table 1). Each FDP encompasses an area of at least 50 ha, which helps to minimize placement bias and ensures that all gap phases from recent disturbances to mature patches are as accurately represented as possible. Using the spatially explicit stem size data recorded at each of the FDPs over the past 5–20 years, we examine the role of gap phase processes in driving tropical forest biomass dynamics. We then estimate the rate of biomass change at each site accounting for the contribution of gap phase processes.

## 2. MATERIAL AND METHODS

### (a) *Plot inventories*

At each of the four FDPs, all trees above or equal to 10 mm diameter at breast height (dbh) were identified to species, mapped, tagged and measured for dbh (to the nearest millimetre) at approximately 5-year intervals over the past 5–20 years (Losos & Leigh 2004; table 1). To minimize errors due to irregular dbh measurements, we applied several statistical filters. Growth measurements of above or equal to 45 mm yr<sup>-1</sup> or up to -5 mm yr<sup>-1</sup> were assumed erroneous. For these trees, we used the mean growth rate of all other individuals in the same diameter class (breakpoints between diameter classes were set to: 10, 15, 20, 25, 30, 40, 50, 100, 200, 300, 400, 500, 600, 700, 10 000 mm). We also applied this correction to any recruits with anomalously large diameters and to trees for which the point of measurement changed between censuses.

The Lambir FDP covers an area of 52 ha. However, to facilitate cross-plot comparisons, we used only the data from the westernmost portion of 50 ha for all analyses presented here. We repeated all analyses for only the easternmost portion of the plot but results did not differ significantly.

### (b) *Gap phase*

We estimated the relative gap phase (GP) for small areas within each FDP based on the logged ratio of basal area in large versus small stems,

$$GP = \ln(BA_{300} + 1) - \ln(BA_{100} + 1), \quad (2.1)$$

where BA<sub>300</sub> is the total basal area of all trees with dbh ≥ 300 mm and BA<sub>100</sub> is the total basal area of all stems with dbh ≥ 10 and ≤ 100 mm. The choice of size cut-offs was chosen to make a clear distinction between canopy and understorey stems. However, GP is robust to choice of size categories (table S1 in the electronic supplementary material).

Within each FDP, GP was calculated for 20×20 m subplots ( $n=1250$  per FDP). In order to facilitate cross-plot comparisons and correct for inherent differences in mature forest structure between sites, we standardized GP based on the maximum value recorded at each FDP, such that it is on a scale of 0–1 (with 1 representing the most mature phase patches). At HKK, one of the subplots was found to have an exceptionally high GP value due to the presence of single extremely large strangler fig (*Ficus* spp.). Owing to their atypical growth form, strangler figs may be poorly represented by allometric equations; therefore, we deemed this subplot as an outlier and excluded it from all subsequent analyses.

To test the performance of GP as a measure of gap phase, we compared the calculated values with several other measures of forest maturity where appropriate data were available. Other measures included maximum canopy height per subplot (BCI, Hubbell *et al.* 1999) and Lambir, T. Ohkubo 2006, personal communication), relative abundance of pioneers (Lambir; Russo *et al.* 2005), total basal area (all sites) and density of stems (all sites). In accord with predictions, GP was positively correlated with canopy height and basal area and negatively correlated with the abundance of pioneers and density of stems (i.e. stem thinning; Brokaw 1985; table S2 in the electronic supplementary material). Importantly, GP also performed as predicted under the expectations of gap phase dynamics (Watt 1947; Bormann & Likens 1979; Brokaw 1985): for individual subplots, GP tended to increase through time, the probability of a decrease (i.e. disturbance) increased at high GP, and decreases in GP were generally of greater magnitude than increases. Based on these diagnostics, we believe that gap phase is a valid measure of the relative GP and suitable for investigating forest dynamics; however, we acknowledge that GP is also potentially influenced by many other factors (e.g. species composition, natural variability in forest structure, predominance of emergents), which we did not explicitly account for here.

The distribution of GP within a forest will be influenced by the scale of gap formation versus the scale at which GP is calculated. Most gaps are smaller than the 400 m<sup>2</sup> subplots used here (e.g. 99% at BCI; Hubbell *et al.* 1999); therefore, it is possible that when GP is calculated at this scale, it will average over patches at different phases of regeneration, resulting in a spurious increase in the frequency of intermediate GP values (i.e. intermediate GP values could be caused by both sampling an area composed entirely of mid-phase forest or including mixes of mature- and early-phase patches). To test this, we calculated the variation in total basal area of large trees (above or equal to 300 mm dbh) per 10×10 m quadrat. At all sites, the relationship between GP and variation in large tree basal area was log-linear (figure S2 in the electronic supplementary material), indicating that variation in forest structure does not increase at intermediate values and supporting our choice of sampling scale.

### (c) Changes in distribution of GP

We used the Wilcoxon rank-sum test with continuity correction to determine whether the distribution of GPs changed through time.

### (d) Estimates of above-ground woody biomass

In addition to GP, we estimated above-ground woody biomass in each of the 20×20 m subplots at each census using established allometric relationships between dbh, wood specific gravity and above-ground woody biomass (Chave *et al.* 2001). Two equations were used to account for the difference in tree allometry between dry (HKK) and moist forests (BCI, Pasoh and Lambir), which are as follows:

dry forests : AGB

$$= \text{WSG} \times \exp(-0.667 + 1.784 \ln(D)) + 0.207(\ln(D))^2 - 0.0281(\ln(D))^3, \quad (2.2)$$

moist forests : AGB

$$= \text{WSG} \times \exp(-1.499 + 2.148 \ln(D)) + 0.207(\ln(D))^2 - 0.0281(\ln(D))^3, \quad (2.3)$$

where AGB is the estimated above-ground woody biomass (in Mg);  $D$  is the dbh (in cm); and WSG is the wood specific gravity (Chave *et al.* 2005). WSG is the oven-dry mass of a sample of wood divided by the mass of the water displaced by that sample and is unitless. When available, species-specific WSG values were used; for those species for which these data were not available, WSG was designated as the mean value of all congeneric species occurring in the respective plot (Chave *et al.* 2005). For trees with multiple stems, AGB was calculated for each stem and then summed to generate tree-level estimates of above-ground biomass.

Using the spatially explicit census data, we calculated the total above-ground woody biomass for each subplot at each census. The annual rate of relative biomass change ( $\Delta\text{AGB}$ ) was then calculated for each subplot as

$$\Delta\text{AGB} = \frac{\ln(\text{TAGB}_2) - \ln(\text{TAGB}_1)}{t}, \quad (2.4)$$

where TAGB is the total above-ground biomass in the subplot estimated at times 1 and 2 and  $t$  is the number of years between the censuses (measured in days).

### (e) Change in biomass versus GP

We calculated the relationship between the relative change in above-ground biomass ( $\Delta\text{AGB}$ ) and the initial GP by binning subplots into five discrete categories of GP (breakpoints set to: 0.0, 0.2, 0.4, 0.6, 0.8, 1.0) and calculating the mean  $\Delta\text{AGB}$  (between the initial and final censuses) within each category. Estimates of 95% CIs were based on 5000 bootstrapped resamples.

### (f) Changes in biomass accounting for gap phase dynamics

We used two separate tests to analyse the changes in biomass independent of gap phase dynamics. First, we conducted a reduced major axis (RMA, type II) regression of change in biomass ( $\Delta\text{AGB}$ ) versus change in GP (between the initial and final censuses) with CIs estimated through bootstrapping (5000 resamples). If biomass is changing independent of the changes in GP, then the intercept of the relationship is predicted to differ significantly from zero. Second, we conducted analyses of covariance (ANCOVA; TAGB coded as the response variable, census as the effect and GP as the covariate) and tested for significant differences in the

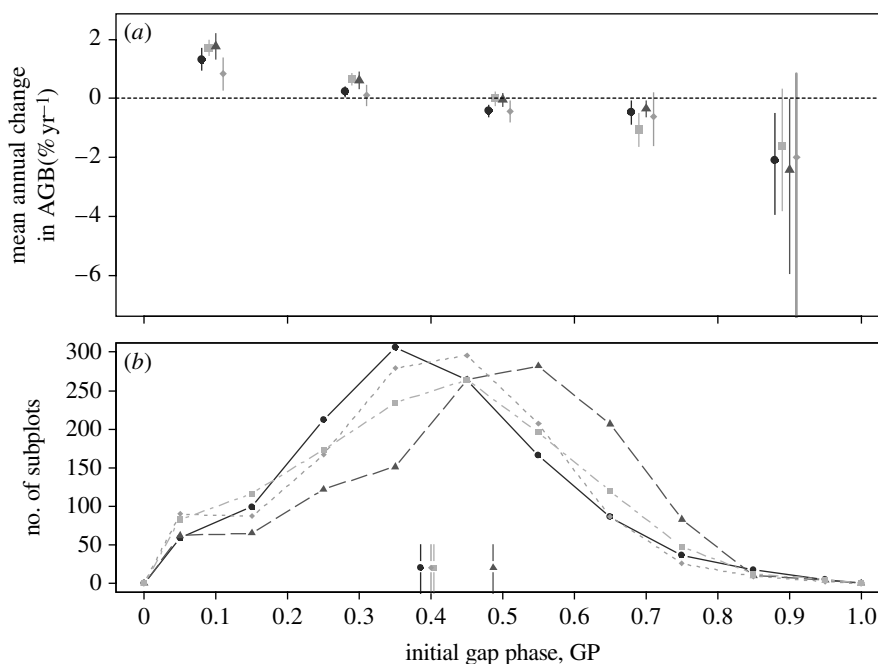


Figure 1. Distribution of change in estimated above-ground biomass and GP in the four FDPs. (a) The annual relative change in above-ground biomass ( $\% \text{ yr}^{-1}$ ) between the initial and final censuses for  $20 \times 20 \text{ m}$  subplots versus initial GP (binned at intervals of 0.20) within each plot (mean  $\pm$  95% CIs based on 5000 bootstrapped resamples). (b) The initial distribution of GP at each plot. Vertical bars in (b) indicate the median initial GP at each site. Circles, BCI; squares, Pasoh; triangles, Lambir; diamonds, HKK.

Table 2. GP and above-ground woody biomass (Mg) per hectare at the forest dynamic plots (FDPs).

FDP	median gap phase (GP)					total biomass ( $\text{Mg ha}^{-1}$ )				
	census 1	census 2	census 3	census 4	census 5	census 1	census 2	census 3	census 4	census 5
BCI	0.40	0.39	0.39	0.40	0.40	300.51	303.75	302.38	303.25	301.27
Pasoh	0.40	0.39	0.38	0.38	n.a.	326.36	337.54	346.26	339.50	n.a.
Lambir	0.49	0.48	0.49	n.a.	n.a.	490.05	501.01	496.89	n.a.	n.a.
HKK	0.40	0.41	n.a.	n.a.	n.a.	211.12	210.29	n.a.	n.a.	n.a.

intercept and the slope between all censuses and between only the initial and final censuses.

All analyses were conducted in R v. 2.2.1 (<http://www.R-project.org/>) using modified functions from the CTFs R package (<http://cran.us.r-project.org/src/contrib/PACKAGES.html>).

### 3. RESULTS

Within each of the FDPs, the distribution of GP was approximately normally distributed but skewed slightly towards an increased abundance of plots in the earlier phases of gap regeneration (i.e. at all FDPs, median GP  $<$  0.50; figure 1). The distribution of GP did not change significantly between the initial and final censuses at BCI, Lambir or HKK. In contrast, there were significant decreases in the mean and median GP values at Pasoh (Wilcoxon rank-sum test:  $W=826\ 292$ ,  $p=0.013$ ; table 2; figure S1 in the electronic supplementary material).

Forest patches with low initial GP consistently increased in biomass over time at all forests. With increasing GP, the rate of biomass increase declined such that the mean change in biomass of subplots, where  $\text{GP} > 0.40$ , was always negative or not significantly different from zero (figure 1). The variance in biomass change also increased with increasing GP, reflecting

both the high stochasticity of disturbance events and the decrease in sample size at high GP.

At Pasoh, the intercept of the RMA regression between the change in GP and  $\Delta\text{AGB}$  was significantly positive, indicating that there was an increase in biomass beyond what could be attributed to changes in GP (figure 2). This 'extra' biomass increase was at the rate of  $0.72\% \text{ yr}^{-1}$  (95% CI =  $0.65\text{--}0.78\% \text{ yr}^{-1}$ ), which is significantly faster than the observed plot-wide increase of  $0.30\% \text{ yr}^{-1}$ . In contrast, at HKK, the intercept of the RMA regression was significantly negative, indicating a  $0.56\%$  annual decrease in above-ground biomass independent of GP changes (95% CI =  $-0.74$  to  $-0.37\% \text{ yr}^{-1}$ ). This is significantly more rapid than the observed decrease of  $0.07\% \text{ yr}^{-1}$ . At BCI and Lambir, there was no significant change in biomass after accounting for the concurrent changes in GP (BCI: 95% CI =  $-0.02\text{--}0.10\% \text{ yr}^{-1}$ ; Lambir: 95% CI =  $-0.00$  to  $0.20\% \text{ yr}^{-1}$ ).

These results are largely supported by the ANCOVA tests, which indicated a significant increase in biomass at Pasoh between the initial and final censuses independent of changes in GP ( $p < 0.0001$ ). There were no significant changes in above-ground biomass at BCI, Lambir or HKK after accounting for GP (table 3). At Lambir, there

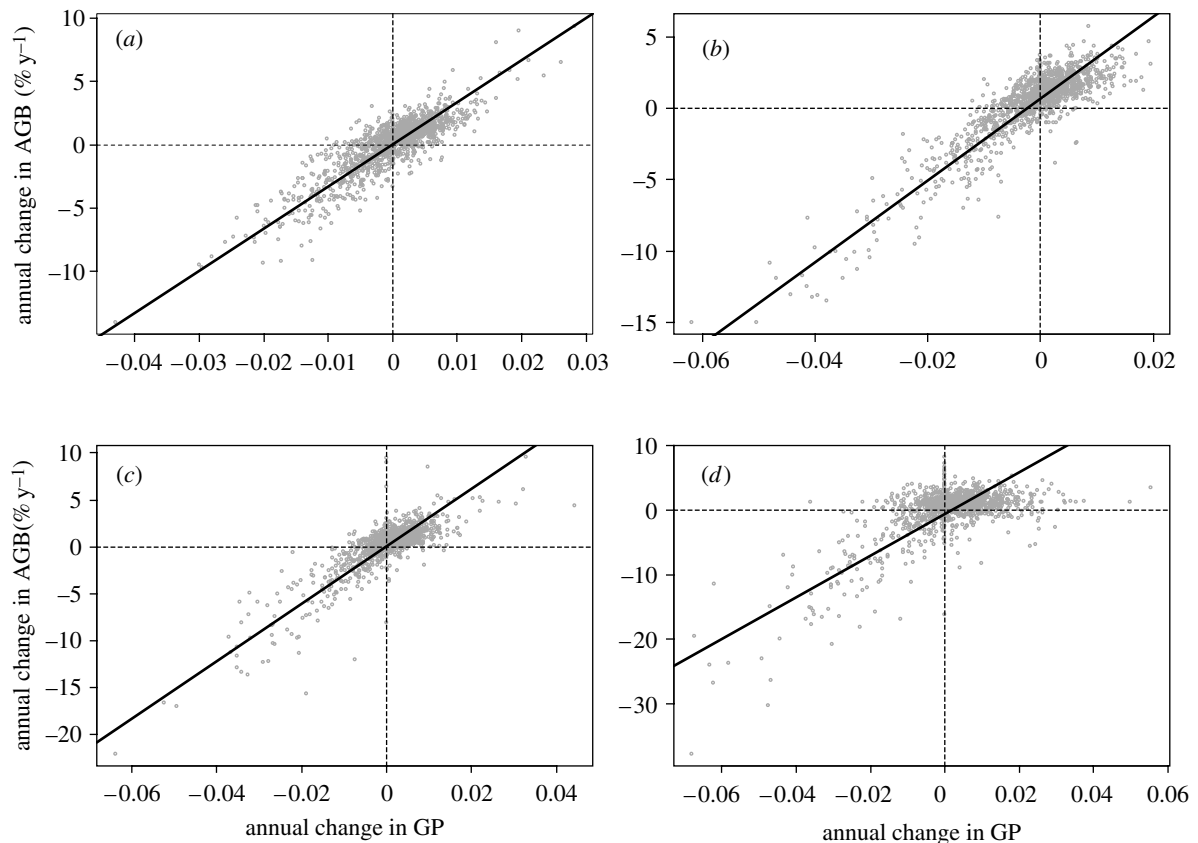


Figure 2. Change in above-ground forest biomass accounting for gap phase dynamics at (a) BCI, (b) Pasoh, (c) Lambir and (d) HKK. These depict the relationship between the annual relative change in estimated above-ground woody biomass ( $\% \text{ yr}^{-1}$ ) and the annual change in GP per  $20 \times 20 \text{ m}$  subplot. Dashed lines indicate zero change. The intercept differs significantly from zero at two of the sites, indicating that biomass is increasing at Pasoh and decreasing at HKK independent of changes in GP.

Table 3. Results of ANCOVA comparing the relationships between TAGB and GP between the initial and final censuses at each forest. (Italics typeface indicates significant effects.)

	degrees of freedom	sum of squares	mean square	<i>F</i> -value	<i>p</i>
<i>BCI</i>					
census period	1	0.03	0.03	0.4446	$5.05 \times 10^{-1}$
gap phase (GP)	1	772.59	772.59	11 899.0198	$2.20 \times 10^{-16}$
census $\times$ GP interaction	1	0.002174	0.002174	0.0335	$8.55 \times 10^{-1}$
residuals	2496	162.06	0.06		
<i>Pasoh</i>					
census period	1	1.19	1.19	27.52	$1.68 \times 10^{-7}$
gap phase (GP)	1	695.67	695.67	16 065.67	$2.20 \times 10^{-16}$
census $\times$ GP interaction	1	0.00	0.00	0.07	$7.94 \times 10^{-1}$
residuals	2496	108.08	0.04		
<i>Lambir</i>					
census period	1	0.24	0.24	2.78	$9.58 \times 10^{-2}$
gap phase (GP)	1	955.00	955.00	11 098.42	$2.00 \times 10^{-16}$
census $\times$ GP interaction	1	0.01	0.01	0.06	$8.03 \times 10^{-1}$
residuals	2496	214.78	0.09		
<i>HKK</i>					
census period	1	0.02	0.02	0.20	$6.54 \times 10^{-1}$
gap phase (GP)	1	728.10	728.10	6245.31	$2.20 \times 10^{-16}$
census $\times$ GP interaction	1	0.10	0.10	0.83	$3.64 \times 10^{-1}$
residuals	2494	290.76	0.12		

were significant changes in biomass between the censuses but the direction of change was not consistent (increased then decreased), such that there was no net change between the initial and final censuses (table S3 in the electronic supplementary material).

#### 4. DISCUSSION

Concentrations of atmospheric  $\text{CO}_2$  have increased steadily over the past several decades. The impacts of this and other global anthropogenic changes on tropical forest ecosystems are still poorly understood and heavily

debated (Laurance *et al.* 2005; Nelson 2005; Wright 2005; Lewis *et al.* 2006; Clark 2007). Understanding the effects of global change on tropical forests has extremely important implications, especially, given their role in global change and the potential for feedback cycles (Clark 2007).

Previous studies investigating changes in tropical forest dynamics and structure have reported significant increases in above-ground biomass through time (Phillips *et al.* 1998; Baker *et al.* 2004). Based on concurrent changes in tree demographic rates and composition, the observed increases in biomass were attributed to elevated nutrient availability and/or carbon fertilization (Laurance *et al.* 2004; Lewis *et al.* 2004a). However, an important limitation is that while these previous studies acknowledged the potentially confounding effects of gap phase dynamics (Phillips *et al.* 2005), they did not explicitly control for it in their estimates of biomass change, making it difficult to distinguish the influence of environmental factors from natural successional processes.

Using spatially referenced census data from four 50 ha plots in old-growth lowland tropical forests representing both the neo- and palaeotropics, we calculated the rates of change in biomass while accounting for the contribution of gap phase dynamics. Two of the sites experienced significant changes in above-ground biomass independent of concurrent changes in GP; at Pasoh, above-ground biomass increased significantly and at HKK biomass decreased. In fact, the corrected rates of biomass change at both of these sites were significantly faster than the observed rates of overall change. This is because GP decreased at Pasoh, which in the absence of other factors is expected to lead to reduced standing biomass; at HKK, the distribution of GP remained stable through time and thus no change in biomass was expected.

The reason(s) for the changes in biomass at Pasoh and HKK remains undetermined. Atmospheric concentrations of CO<sub>2</sub> and rates of nutrient deposition have increased globally, but these widespread increases in resource availability explain neither the loss of biomass at HKK nor the apparent stability of biomass at BCI and Lambir. Furthermore, we have shown elsewhere that the growth of trees at both Pasoh and BCI has decelerated over the study period (Feeley *et al.* 2007). This is in direct contradiction to the fertilization hypothesis and suggests that there must be compensatory changes in the turnover, structure or composition of these forests to account for the observed patterns. However, none of the forests exhibited significant increases in the relative dominance of fast-growing or canopy tree species as predicted under elevated resource availability (Chave *et al.*, submitted). It thus appears probable that at these sites, idiosyncratic or regional factors (changes in temperature, cloudiness, precipitation, anthropogenic disturbances, etc.) are taking primacy over the global increase in resource availability. For example, Central American and Southeast Asian forests (where our study sites were located) have experienced large temperature increases over the past half-century (Malhi & Wright 2004), which may be decreasing productivity and counteracting any fertilization effect (Feeley *et al.* 2007). In contrast, temperature increases have been less severe through much of the Amazon and thus those forests may be responding more

to changes in resource availability. Additional research will be required to reveal the identity and relative importance of the various environmental changes operating within different forests.

An important caveat is that this study examined only the changes in estimates of above-ground coarse woody biomass (Chave *et al.* 2005). While woody production in forests accounts for as much as 45% of above-ground biomass and 30% of above-ground net primary productivity (Chambers *et al.* 2001), external drivers may also affect forest biomass through non-structural carbohydrates or other forest components that were excluded from our estimates, such as twigs, foliage, reproductive organs (flowers, fruits and seeds), lianas, roots, coarse woody debris or even fauna (Nascimento & Laurance 2002; Würth *et al.* 2005). For example, the production of flowers by trees at BCI increased significantly over the study period (Wright & Calderon 2006). Likewise, the relative abundance of lianas, or woody climbing vines, has increased dramatically throughout much of the neotropics (Phillips *et al.* 2002a,b) including at BCI (Wright *et al.* 2004). Greater liana abundances may result in short-term increases in forest biomass, but through time this will probably cause increased tree mortality due to over-shading, leading to eventual declines in total forest biomass and carbon sequestration (Granados & Körner 2002; Körner 2004).

Another potential limitation is that the methods employed here may fail to accurately distinguish between the role of gap phase dynamics and external drivers (e.g. increased CO<sub>2</sub> or nutrient deposition) if these drivers indirectly impact biomass dynamics by influencing the rates of disturbance and/or subsequent recovery, as suggested by a purported increase in tropical forest turnover (Phillips & Gentry 1994). Furthermore, if environmental changes disproportionately impact certain size classes of stems (e.g. elevated concentrations of CO<sub>2</sub> are predicted to decrease the light compensation point of photosynthesis and thereby lead to greater relative increases in the biomass growth of shaded understorey plants; Würth *et al.* 1998), it could mimic the changes in forest structure associated with gap phase processes and confound the analyses. At all the forests studied here, the distribution of GP remained significantly stable or decreased through time (figure S1 in the electronic supplementary material), contradicting the predictions of accelerated gap recovery. Furthermore, changes in growth rates were consistent across all size classes of stems at BCI and Pasoh (Feeley *et al.* 2007), suggesting that the observed relationships are probably not due to differential size-related growth responses.

In conclusion, natural gap phase processes clearly play an important role in the biomass dynamics of tropical forests. As such, it is critical that we explicitly account for gap phase processes if we hope to understand how the structure and dynamics of these forests may be responding to regional and global environmental changes. Of the four tropical forests studied here, only two exhibited significant changes in biomass independent of changes in GP; however, even between these two forests, the direction of change was not consistent (one increased and another decreased). These findings, while preliminary, support the prevailing influence of regional versus global environmental changes on tropical forest structure and dynamics

(Phillips *et al.* 1998; Feeley *et al.* 2007). The remaining uncertainties regarding how and why some tropical forests are changing through time, as well as their contribution to global change, clearly highlight the need for additional large-scale, long-term research (Clark 2007).

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